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TO: Commissioner for Patents

FAX NO.: 703-872-9306

FROM: Keith Taboada, Esq.

DATE: September 28, 2004

MATTER: Serial No. 10/823,364 Filed: April 12, 2004

DOCKET NO.: 8756/ETCH/DICP

APPLICANT: Shannon, et al.

The following has been received in the U.S. Patent and Trademark Office on the date of this facsimile:

☐ Petition
☐ Disclosure Statement & PTO-1449
☐ Priority Document
☐ Drawings (sheets) informal
☐ Amendment
☒ Preliminary Amendment (6 pages)
☒ Two (2) sheets of replacement drawings

☐ Transmittal Letter (2 copies)
☐ Fee Transmittal (2 copies)
☐ Deposit Account Transaction
☒ Facsimile Transmission Certificate
dated September 28, 2004

CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being transmitted by facsimile to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 28, 2004, Facsimile No. (703) 872-9306.

Allyson M. DeVesty
Name of person signing this certificate

Allyson M. DeVesty 9-28-04
Signature and date

305104

SEP 28 2004

PRELIMINARY AMENDMENT
Serial No. 10/823,364
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**IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE**

PATENT APPLICATION

Applicant: Shannon, et al.

Case: 8756/ETCH/DICP

Serial No.: 10/823,364

Filed: April 12, 2004

Examiner: Not yet assigned

Group Art Unit: Not yet assigned

Confirmation No.: Not yet assigned

**TITLE: PLASMA CONTROL USING DUAL CATHODE FREQUENCY
MIXING**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R:

PRELIMINARY AMENDMENT

Please enter the following Preliminary Amendment. The Applicants believe no fee is due in connection with this amendment. The Commissioner is hereby authorized to charge Deposit Account No. 20-0782 for any fees, including extension of time fees or excess claim fees, required to make this response timely and acceptable to the Office.

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